

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
March 2023**



**BRANT GRIFFIN**

**NORTH PIER FIDUCIARY MANAGEMENT, LLC  
4333 Admiralty Way, Unit G1-West  
Marina del Rey, CA 90292**

**FIRM CONTACT: BRANT JACKSON GRIFFIN, CHIEF COMPLIANCE OFFICER**

**FIRM WEBSITE ADDRESS: [WWW.NORTHPIERSEARCH.COM](http://WWW.NORTHPIERSEARCH.COM)**

This brochure supplement provides information about Mr. Griffin that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Griffin if you did not receive North Pier Fiduciary Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Griffin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Educational Background and Business Experience**

**Brant J. Griffin, QKA, AIFA®, PRP**

Born on 3/12/1968

### **Partner and Chief Compliance Officer**

Originally from the Richmond, Virginia area, Brant earned his B.A. in Economics from Elon University in North Carolina. He attained the Qualified 401(k) Administrator (QKA) designation from the American Society of Pension Professionals and Actuaries (ASPPA). He has also earned the Accredited Investment Fiduciary Analyst™ (AIFA) designation, awarded by the Center for Fiduciary Studies, which is associated with the Joseph M. Katz Graduate School of Business of the University of Pittsburgh. Brant is one of the first 100 professionals to earn the PLANSPONSOR Retirement Professional designation (PRP) from the PLANSPONSOR Institute. He is a regular speaker at industry conferences.

In 1995, Brant commenced his career with T. Rowe Price & Associates as a Senior Retirement Plan Consultant where he advised emerging companies in the evaluation of their qualified plan options. In 1999, shortly after moving to California, Brant joined the Retirement Services Department of Oppenheimer and Co., Inc. where he rose to the position of Western Regional Director, managing the consulting efforts to over \$1.2 billion of qualified plans. In 2001, Brant and Jim's groups began working together on several joint cases building the framework for the formation of the Corporate Services Group (CSG) of Oppenheimer & Co. Inc. in 2003. CSG was an industry pioneer in providing conflict free, fee-only investment consulting and fiduciary advocacy for institutional, participant-directed plan sponsors. In 2008, Brant and Jim completed a lift-out the central members of CSG to found North Pier Fiduciary Management, LLC.

## **Item 3 Disciplinary Information**

There are no legal or disciplinary events material to your evaluation of Mr. Griffin, we are required to disclose all material facts regarding those events.

## **Item 4 Other Business Activities**

Mr. Griffin participates in expert witness services which constitute approximately 5% of his time.

## **Item 5 Additional Compensation**

No one who is not a client provides an economic benefit to Mr. Griffin for providing advisory services.

## **Item 6 Supervision**

Mr. Griffin is the Chief Compliance Officer and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics.